



ENERGY EFFICIENCY IN EMPIRE ZONES PROGRAM

Note to state, regional, and local economic developers: If you intend to use this program as part of an overall incentive offer to a company, you must submit a written request to National Grid's Economic Development Department in advance of the project announcement.

Program Summary

New York State designated Empire Zones are fertile ground for demand-side management energy initiatives. Many Empire Zones are in highly urbanized areas and dominated by relatively old, inefficient buildings with aging infrastructure. Because these customers do not pay the New York State System Benefit Charge ("SBC") on their Empire Zone Rider ("EZR") eligible usage, they are not eligible for assistance through the New York State Energy Research and Development Authority's ("NYSERDA") commercial energy efficiency programs. A complete list of NYSERDA programs and guidelines may be found at <http://www.nysesda.org/programs.html>.

The **Energy Efficiency in Empire Zones Program** provides assistance to Empire Zone businesses in the Company's service territory. The program provides incentives to eligible customers for installation of energy-efficient lighting, controls, HVAC equipment, motors/electronic speed controls, and other systems that reduce energy use and/or enhance productivity.

Application requests may include, but are not limited to, the following:

- Energy efficiency lighting, ballasts, fixtures, and lighting controls;
- AC Energy Efficient Motors and electronic speed control devices;
- Ventilation fans driven by AC Motors;
- Centrifugal pumps driven by AC Motors;
- Process equipment driven by AC Motors and controls;
- Refrigeration Equipment;
- Heat Recovery Equipment;
- Limited Building Envelope Modifications;
- Compressed Air Systems;
- Boiler/Boiler Controls;
- HVAC Components;
- Air Handling Systems;
- Load Management Equipment and Systems;
- Building Automation and Energy Management Systems; and
- Equipment associated with green building technologies and LEEDS building initiatives (Leadership in Energy & Environmental Design).

Minimum Program Requirements: *Please review the program requirements and guidelines below prior to completing an application for funding.*

Note: Program assistance is only available to customers in good standing, located within National Grid's Upstate New York franchise territory. Applicants must be current in payments with National Grid or have executed a deferred payment agreement with the Company.

To be eligible for this program, the **applicant** must:

- ❑ Be the owner of the eligible business;
- ❑ Be a certified Empire Zone customer; **and**
- ❑ Be receiving energy delivery benefits under National Grid's Empire Zone Rider (EZR) Program **OR** undertaking an EZR qualifying expansion.

Funding and Eligibility Guidelines

Program funding and parameters are established annually by National Grid. Grants are available on a continual basis until all funding is expended or until the end of National Grid's current rate agreement. Funding is released to a grant award recipient only after the recipient has met all conditions of the program. In all circumstances, funding should be viewed by the applicant as a reimbursement for work completed following grant approval in the form of an award letter.

The grant award recipient should expect to execute a Funding Agreement with National Grid. The Agreement will outline expectations of the grant program and the conditions for the release of funds.

Grant amounts listed are the maximum allowable award for each program. Each application is evaluated on a variety of factors, resulting in some not receiving the maximum grant award.

If you are applying to more than one program for the same project, you must indicate that clearly on the application.

Under no circumstance will funding be released after the expiration of the Company's current rate agreement or without prior written consent from National Grid.

- ❑ Incentives must be installed within two (2) years of the grant approval date.
- ❑ Grants only apply to energy efficiency equipment identified through the application process.
- ❑ Projects involving construction of a new building are not eligible for funding under this program.
- ❑ Building renovation and expansion projects are eligible to the extent that they yield energy efficiency savings through replacement of equipment and systems
- ❑ Grants will not exceed \$25,000.
- ❑ At a minimum, the customer must fund at least twenty-five percent (25%) of total project costs, and the National Grid Upstate funding must represent no more than fifty percent (50%) of the total project costs.

- Customers must be Empire Zone certified and receiving discounted energy delivery service through National Grid's Empire Zone Rider, or certified and undertaking an EZR qualifying expansion project.

How to Apply

To apply for the Energy Efficiency in Empire Zones Program please:

- Complete the program application online; and
- Upload all required documentation as noted at the end of your online application.

If you need assistance in completing the application, please contact Karen Mousaw via email at Karen.Mousaw@nationalgrid.com.